## Y PATENT APPLICATION TRANSMITTAL (Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. 00-012

Total Pages in this Submission 105

## TO THE ASSISTANT COMMISSIONER FOR PATENTS

Box Patent Application

|       |                                |             |            |                          |         | Washington,              | • •            |   | •               |
|-------|--------------------------------|-------------|------------|--------------------------|---------|--------------------------|----------------|---|-----------------|
|       |                                |             |            | filing under 35          | 5 U.S.  | C. 111(a) and 3          | 7 C.F.R. 1.53  | (b) is a new utility patent app               | lication for an |
| M     |                                | D A         | ND APP.    | ARATUS FOI<br>TRANSACTIO |         | OVIDING A BI             | ENEFIT DUR     | ING A TRANSACTION FO                          | OR USE & 8      |
| and i | invente                        | ed by       | ·          |                          |         |                          |                |   | 96              |
| G     | eoffrey                        | М. С        | GELMAN     | I; Miles LASA            | TER;    | Andrew P. GO             | LDEN; Keith    | AMMON; Michiko KOBAY<br>BEMER; Scott WOLINSKY | /               |
| 44.5  |                                |             |            |                          |         |                          |                | the requisite information:                    |                 |
|       | Cont                           |             | ion 📙      | Divisional               | u       | Continuation-i           | n-part (CIP)   | of prior application No.:                     |                 |
|       | ch is a <b>Cont</b> i  ch is a | inuat       | ion 🗆      | Divisional               |         | Continuation-i           | n-part (CIP)   | of prior application No.:                     |                 |
|       | Cont                           |             | ion 🗆      | Divisional               |         | Continuation-i           | n-part (CIP)   | of prior application No.:                     |                 |
| Encl  | losed a                        | are:        |            |                          |         | Application              | Elements       |   |                 |
|       | . 🗵                            |             | g fee as   | calculated and           | d trans | smitted as descr         | ribed below    |   |                 |
| 2     | !. <b>⊠</b>                    | Spe         | cification | having                   |         | 70                       | pages and ir   | cluding the following:                        |                 |
|       | a.                             | $\boxtimes$ | Descrip    | tive Title of the        | e Inve  | ntion                    |                |   |                 |
|       | b.                             | $\boxtimes$ | Cross R    | References to I          | Relate  | ed Applications (        | if applicable) |   |                 |
|       | C.                             |             | Stateme    | ent Regarding            | Fede    | rally-sponsored          | Research/De    | velopment (if applicable)                     |                 |
|       | d.                             |             | Referen    | ice to Microficl         | he Ap   | pendix (if applica       | able)          |   |                 |
|       | e.                             | $\boxtimes$ | Backgro    | ound of the Inv          | entior  | า                        |                |   |                 |
|       | f.                             | $\boxtimes$ | Brief Su   | mmary of the             | Inven   | tion                     |                |   |                 |
|       | g.                             | $\boxtimes$ | Brief De   | scription of the         | e Drav  | wings <i>(if drawing</i> | gs filed)      |   |                 |
|       | h.                             | $\boxtimes$ | Detailed   | Description              |         |                          |                |   |                 |
|       | i.                             | X           | Claim(s)   | ) as Classified          | Belov   | N                        |                |   |                 |
|       | j.                             | X           | Abstract   | t of the Disclos         | sure    |                          |                |   |                 |

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| 3.                             | X   | Dra   | wing(s) (when necessar  | y as prescribed by 35 USC 113)   |  |  |  |  |  |  |
|--------------------------------|---|---|---|--|--|--|--|--|--|--|
|                                | a.  | $\boxtimes$   | Formal Nu   | umber of Sheets 13   |  |  |  |  |  |  |
|                                | b.  |   | Informal Nu   | umber of Sheets  |  |  |  |  |  |  |
| 4.                             | $\boxtimes$   | Oat   | th or Declaration   |  |  |  |  |  |  |  |
|                                | a.  | X   | Newly executed (origin  | al or copy) Unexecuted   |  |  |  |  |  |  |
|                                | b.  |   | Copy from a prior appli   | cation (37 CFR 1.63(d)) (for continuation/divisional application only) |  |  |  |  |  |  |
|                                | c.  | $\boxtimes$   | With Power of Attorney  | Without Power of Attorney  |  |  |  |  |  |  |
|                                | d.  |   | DELETION OF INVEN<br>Signed statement attack<br>see 37 C.F.R. 1.63(d)(  | ched deleting inventor(s) named in the prior application,              |  |  |  |  |  |  |
|                                |   | The<br>Box  | Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. |  |  |  |  |  |  |  |
| <b>1</b> 6.                    |   | Computer Program in Microfiche (Appendix)                           |   |  |  |  |  |  |  |  |
| <sup>♣</sup> 7.                | sid Sequence Submission (if applicable, all must be included) |   |   |  |  |  |  |  |  |  |
| + 7.                           | a.  |   | Paper Copy  |  |  |  |  |  |  |  |
| ***                            | b.  |   | Computer Readable C   | opy (identical to computer copy)                                       |  |  |  |  |  |  |
|                                | c.  | Statement Verifying Identical Paper and Computer Readable Copy      |   |  |  |  |  |  |  |  |
| Accompanying Application Parts |   |   |   |  |  |  |  |  |  |  |
| 8.                             | X   | Assignment Papers (cover sheet & document(s))                       |   |  |  |  |  |  |  |  |
| 9.                             |   | 37 CFR 3.73(B) Statement (when there is an assignee)                |   |  |  |  |  |  |  |  |
| 10.                            |   | English Translation Document (if applicable)                        |   |  |  |  |  |  |  |  |
| 11.                            | $\boxtimes$   | Information Disclosure Statement/PTO-1449   Copies of IDS Citations |   |  |  |  |  |  |  |  |
| 12.                            |   | Preliminary Amendment   |   |  |  |  |  |  |  |  |
| 13.                            | ×   | Ack   | knowledgment postcard   |  |  |  |  |  |  |  |
| 14.                            | ×   | Cer   | rtificate of Mailing  |  |  |  |  |  |  |  |
|                                |   |   | First Class 🗵 Exp   | ress Mail (Specify Label No.): EL331356532US                           |  |  |  |  |  |  |

**Application Elements (Continued)** 

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|              |                 |   | Ac   | ccompanying App   | Silication Pa   | arts (Con    | tinuea)                |                                |                  |
|--------------|-----------------|---|--|---|---|--------------|------------------------|--------------------------------|------------------|
| 15.          |                 | Certified Co  | opy of Priority  | Document(s) (if for   | reign priorit <sub>!</sub>                                    | y is claime  | e <b>d</b> )           |                                |                  |
| 16.          |                 | Additional [  | Enclosures (ple  | ease identify below   | v):   |              |                        |                                | ——— <del>—</del> |
|              |                 |   |  |   |   |              |                        |                                |                  |
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|              |                 |   |  | CLAIMS A  | S FILED   |              |                        |                                |                  |
| î<br>A       | For             |   | #Filed   | #Allowed  | #Extra  |              | Rate                   |                                | Fee              |
| otal (       | Claim           | 15  | 80   | - 20 =  | 60  | x            | \$18.00                |                                | \$1,080.00       |
| dep.         | Clair           | ms  | 29   | - 3 =   | 26  | x            | \$78.00                |                                | \$2,028.00       |
| ្រ<br>fultip | le De           | pendent C   | laims (check i   | if applicable)  | <u>.</u>  |              | ,                      |                                | \$0.00           |
|              |                 |   |  |   |   |              |                        | BASIC FEE                      | \$690.00         |
| ОТН          | ER FE           | EE (specify   | purpose)   |   |   |              |                        |                                | \$0.00           |
|              |                 |   |  |   |   |              | TOTAL                  | . FILING FEE                   | \$3,798.00       |
| X) T         | he Co<br>s desc | cribed below<br>Charge the<br>Credit any<br>Charge an<br>Charge the | r is hereby auth w. A duplicate e amount of voverpayment. ny additional fili | horized to charge a copy of this sheet \$3,798.00 as . ing fees required ut in 37 C.F.R. 1.18 | is enclosed<br>s filing fee.<br>ander 37 C.F<br>at the mailin | Deposit Acd. | and 1.17. Notice of Al | 50-0271  Illowance,  Signature |                  |
| ated:        | Ju              | ne 13, 2000   |  |   | A<br>(2   | -            |                        | nts Reg. No.: 38,í<br>)        | 370              |
| c:           |                 |   |  |   |   |              |                        |                                |                  |

| pplicant(s): Jay S. Wal            | MAILA BY "EXPRESS I<br>lker et al.      | MAIL" (37 CFR)   | Docket No.<br>00-012            |
|------------------------------------|---|--|---------------------------------|
| Serial No. Not Yet Assigned        | Filing Date  June 13, 2000              | Examiner Not Yet Assigned  | Group Art Unit Not Yet Assigned |
| vention: METHOD A SE DURING A LATE |   | VIDING A BENEFIT DURING A  | TRANSACTION FOR                 |
|                                    |   |  | <u> </u>                        |
|                                    |   |  | 1c564 U.S                       |
| I hereby certify that this         | s <u>Utility Patent Application, De</u> | eclaration, Assignment, IDS and Ref<br>(Identify type of correspondence) | erences                         |
| June 13, (Date)                    | , 2000                                  | Nalini P. Sahade  (Typed or Printed Name of Person Mailin                | )                               |
|                                    |   | N Un P - S<br>(Signature of Person Mailing Corr                          |                                 |
|                                    |   | EL331356532US  |                                 |
|                                    | Note: Each paper must ha                | ive its own certificate of mailing.                                      |                                 |